## **Annexure C**

Format for Investor Complaints Data to be displayed by Depository Participants on their respective websites.

## **Data for every month ending May 2025**

| Sn. | Received from             | Carried<br>forward<br>from<br>previous<br>month | Received<br>during<br>the<br>month | Total<br>Pending | Resolved* | Pending at the end of<br>the month**    |   | Average<br>Resolution<br>time^<br>(in days) |
|-----|---------------------------|---|------------------------------------|------------------|-----------|---|---|---|
|     |                           |   |                                    |                  |           | Pending<br>for less<br>than 3<br>months | Pending<br>for more<br>than 3<br>months |   |
| 1   | 2                         | 3   | 4                                  | 5                | 6         | 7                                       |   | 8   |
| 1   | Directly from Investors   | Nil   | Nil                                | Nil              | NA        | Nil                                     | Nil                                     | 1-7 days                                    |
| 2   | SEBI<br>(SCORES)          | Nil   | Nil                                | Nil              | NA        | Nil                                     | Nil                                     | 1-7 days                                    |
| 3   | Depositories              | Nil   | Nil                                | Nil              | NA        | Nil                                     | Nil                                     | 1-7 days                                    |
| 4   | Other Sources<br>(if any) | Nil   | Nil                                | Nil              | NA        | Nil                                     | Nil                                     | 1-7 days                                    |
| 5   | <b>Grand Total</b>        | Nil   | Nil                                | Nil              | NA        | Nil                                     | Nil                                     | 1-7 days                                    |

## **Trend of monthly disposal of complaints**

| SN | Month   | Carried forward<br>from<br>previous month | Received | Resolved* | Pending** |
|----|---------|---|----------|-----------|-----------|
| 1  | 2       | 3   | 4        | 5         | 6         |
| 1  | Jun-23  | 0   | 0        | 0         | 0         |
| 2  | July-23 | 0   | 1        | 1         | 0         |
| 3  | Aug-23  | 0   | 1        | 1         | 0         |
| 4  | Sep-23  | 0   | 0        | 0         | 0         |
| 5  | Oct-23  | 0   | 0        | 0         | 0         |
| 6  | Nov-23  | 0   | 0        | 0         | 0         |
| 7  | Dec-23  | 0   | 0        | 0         | 0         |
| 8  | Jan-24  | 0   | 1        | 1         | 0         |
| 9  | Feb-24  | 0   | 0        | 0         | 0         |
| 10 | Mar-24  | 0   | 0        | 0         | 0         |
| 11 | Apr-24  | 0   | 0        | 0         | 0         |
| 12 | May-24  | 0   | 1        | 1         | 0         |
| 13 | June-24 | 0   | 0        | 0         | 0         |
| 14 | July-24 | 0   | 0        | 0         | 0         |
| 15 | Aug-24  | 0   | 1        | 1         | 0         |
| 16 | Sep-24  | 0   | 0        | 0         | 0         |
| 17 | Oct-24  | 0   | 1        | 1         | 0         |
| 18 | Nov-24  | 0   | 0        | 0         | 0         |

| 19 | Dec-24 | 0 | 0 | 0 | 0 |
|----|--------|---|---|---|---|
| 20 | Jan-25 | 0 | 0 | 0 | 0 |
| 21 | Feb-25 | 0 | 0 | 0 | 0 |
| 22 | Mar-25 | 0 | 0 | 0 | 0 |
| 23 | Apr-25 | 0 | 0 | 0 | 0 |
| 24 | May-25 | 0 | 0 | 0 | 0 |

<sup>\*</sup>Should include total complaints pending as on the last day of the month. If any. Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number complaints resolved in the current month.

| SN | Year      | Carried forward | Received | Resolved | Pending |
|----|-----------|-----------------|----------|----------|---------|
|    |           | from            | the year | the year |         |
|    |           | previous year   |          |          |         |
| 1  | 2         | 3               | 4        | 5        | 6       |
| 1  | 2017-2018 | 0               | 0        | 0        | 0       |
| 2  | 2018-2019 | 0               | 0        | 0        | 0       |
| 3  | 2019-2020 | 0               | 0        | 0        | 0       |
| 4  | 2020-2021 | 0               | 0        | 0        | 0       |
| 5  | 2021-2022 | 0               | 0        | 0        | 0       |
| 6  | 2022-2023 | 0               | 2        | 2        | 0       |
| 7  | 2023-2024 | 0               | 3        | 3        | 0       |
| 8  | 2024-2025 | 0               | 3        | 3        | 0       |